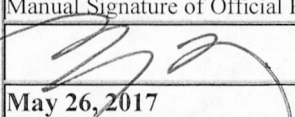


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Sec File No. 91 -		and 9 Copies	Expires:
Estimated average burden hours per response: 2.00			
UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 FORM 19b-4(e)			
Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934			
READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM			
Part I	Initial Listing Report		
1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:			
NYSE Arca, Inc. (trading pursuant to unlisted trading privileges)			
2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):			
Open-end Management Investment Company			
3. Class of New Derivative Securities Product:			
Investment Company Units			
4. Name of Underlying Instrument:			
Bloomberg Barclays U.S. Short Aggregate Enhanced Yield Index			
5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:			
Broad-Based			
6. Ticker Symbol(s) of New Derivative Securities Product:			
SHAG			
7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:			
OTC			
8. Settlement Methodology of New Derivative Securities Product:			
Regular way trades settle on T+3/Book entry only held in DTC.			
9. Position Limits of New Derivative Securities Product (if applicable):			
Not applicable.			
Part II	Execution		
The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.			
Name of Official Responsible for Form:			
Martha Redding			
Title:			
Associate General Counsel and Assistant Secretary			
Telephone Number:			
(212) 656-2938			
Manual Signature of Official Responsible for Form:			
			
May 26, 2017			

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Act	Securities Exchange Act of 1934
Section	19b-4
Rule	(19b-4)
Public Availability:	JUN 01 2017